FATCA & CRS Annexure for Individual Accounts

BARODA PIONEER MUTUAL FUND

(Including Sole Proprietor) (Refer to instructions)

Date

Place

(Please consult your professional tax advisor for further guidance on your tax residency, if required)





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FATCA & CRS TERMS & CONDITIONS

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as Investment Entities to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with Baroda Pioneer Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS INSTRUCTIONS

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

sitt is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	 Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality". or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
Telephone number in a country other than India	 If no Indian telephone number is provided Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR Documentary evidence (refer list below)
Telephone number in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
- * Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

AMC Contact Address											
Baroda Pioneer Asset Management Company Limited	Baroda Pioneer Asset Management Company Limited	Baroda Pioneer Asset Management Company Limited									
CIN: U65991MH1992PLC069414	Flat No. 103 & 104, First Floor,	HP Complex, Flat No.12, 3rd Floor,									
501, Titanium, 5th Floor,	Prakash Deep Building,	Door No. 124/1, 2 & 3 New No. 14,									
Western Express Highway, Goregaon (E),	7 Tolstoy Marg, New Delhi - 110001.	G. N. Chetty Road, T. Nagar, Chennai - 600 017.									
Mumbai - 400063. Tel. No.: 91 22 3074 1000	Phone: 011-43514662	Phone: 044 - 2834 3530, Fax: 044 - 2834 3539									
Visit us at a vivia baradariance in a Fraciliinta @baradariance in											

Visit us at : www.barodapioneer.in • Email:info@barodapioneer.in

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Toll Free Number No.: 1800 2670 189 Monday to Saturday between 9.00 a.m. to 6.00 p.m.